

# 8. Qubitization: Basics

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# Quantum Signal Processing

- The precursor to qubitization is Quantum Signal Processing (QSP)
- Physical model: Always-on magnetic field in one direction + instantaneous pulses

$$e^{i\phi_0 Z} e^{i\theta X} e^{i\phi_1 Z} \dots e^{i\theta X} e^{i\phi_d Z} = \begin{bmatrix} P(a) & iQ(a)\sqrt{1-a^2} \\ iQ^*(a)\sqrt{1-a^2} & P^*(a) \end{bmatrix},$$

where  $\theta = -2 \cos^{-1}(a)$  and

1. Degrees of P and Q are at most d and d-1, respectively.
2. P, Q has parity d and (d-1) mod 2.
3.  $|P|^2 + (1 - a^2)|Q|^2 = 1$ .

# Qubitization: Unitary Encoding

$$e^{i\phi_0 Z} e^{i\theta X} e^{i\phi_1 Z} \dots e^{i\theta X} e^{i\phi_d Z} \rightarrow e^{i\phi'_0 \tilde{Z}} U(H) e^{i\phi'_1 \tilde{Z}} \dots U(H) e^{i\phi'_d \tilde{Z}},$$

where  $\tilde{Z} = Z_a \otimes I_s$  and  $U(H) = Z_a \otimes H + X_a \otimes \sqrt{1 - H^2}$ .

$$U(H) = \begin{pmatrix} H & \cdot \\ \cdot & \cdot \end{pmatrix} \quad (\|H\| \leq 1) \quad \begin{matrix} \uparrow \\ \text{ancilla qubit} \end{matrix}$$

$$U(H)^2 = I_n \otimes H^2 + I_n \otimes (I - H^2) + \{ Z_a \otimes H, X_a \otimes \sqrt{I - H^2} \}$$

$$= I_n \otimes I + \underbrace{\{ Z_a, X_a \}}_{!!} H \sqrt{I - H^2}$$

$$H = \sum_{\lambda} \lambda |\lambda\rangle \langle \lambda|$$

$$\sqrt{I - H^2} = \sum_{\lambda} \sqrt{1 - \lambda^2} |\lambda\rangle \langle \lambda|$$

$$= I_n \otimes I$$

$$U(H) |0\rangle_a |\psi\rangle = (Z_a \otimes H) |0\rangle_a |\psi\rangle + X_a \otimes \sqrt{I - H^2} |\psi\rangle$$

$$= |0\rangle_a \otimes H |\psi\rangle + |1\rangle_a \otimes \sqrt{I - H^2} |\psi\rangle$$

$$U(H) |1\rangle_a |\psi\rangle = -|1\rangle_a \otimes H |\psi\rangle + |0\rangle_a \otimes \sqrt{I - H^2} |\psi\rangle$$

$$\begin{array}{c}
 |0\rangle_{\alpha} |\psi\rangle, |1\rangle_{\alpha} |\psi\rangle \\
 U(H) = \left( \begin{array}{cc} H & \sqrt{I-H^2} \\ \sqrt{I-H^2} & -H \end{array} \right) \begin{array}{l} |0\rangle_{\alpha} |\psi\rangle \\ |1\rangle_{\alpha} |\psi\rangle \end{array} \\
 \begin{array}{cc} \underbrace{\hspace{1.5cm}} & \underbrace{\hspace{1.5cm}} \\ |0\rangle_{\alpha} |\psi\rangle & |1\rangle_{\alpha} |\psi\rangle \end{array}
 \end{array}$$

# Unitary Encoding

$U(H) = Z_a \otimes H + X_a \otimes \sqrt{1 - H^2}$  is called as a *unitary encoding* of  $H$ .

1.  $U(H)$  is a unitary.
2. Alternatively, we can view it as a block-diagonal matrix:

$$U(H) = \begin{pmatrix} H & \cdot \\ \cdot & \cdot \end{pmatrix}.$$

3. Of course, eventually we will need to discuss how to actually implement  $U(H)$ , given the Hamiltonian. (Hint: SELECT + PREPARE)

# Qubitization: Energy Eigenstate

$$e^{i\phi_0 Z} e^{i\theta X} e^{i\phi_1 Z} \dots e^{i\theta X} e^{i\phi_d Z} \rightarrow e^{i\phi'_0 \tilde{Z}} U(H) e^{i\phi'_1 \tilde{Z}} \dots U(H) e^{i\phi'_d \tilde{Z}},$$

where  $\tilde{Z} = \underbrace{Z_a} \otimes \underbrace{I_s}$  and  $U(H) = Z_a \otimes H + X_a \otimes \sqrt{1 - H^2}$ .

Let's first study the action of this operator on  $|\lambda\rangle_s$ , where  $H|\lambda\rangle_s = \lambda|\lambda\rangle_s$ .

$$\begin{aligned} e^{i\phi'_d \tilde{Z}} U(H) e^{i\phi'_{d-1} \tilde{Z}} |\lambda\rangle_s &= e^{i\phi'_d \tilde{Z}} U(H) |\lambda\rangle_s e^{i\phi'_{d-1} \tilde{Z}} \\ &= e^{i\phi'_d \tilde{Z}} (Z_a \otimes H + X_a \otimes \sqrt{1 - H^2}) |\lambda\rangle_s e^{i\phi'_{d-1} \tilde{Z}} \\ &= e^{i\phi'_d \tilde{Z}} (Z_a \lambda + X_a \sqrt{1 - \lambda^2}) |\lambda\rangle_s e^{i\phi'_{d-1} \tilde{Z}} \\ &= \lambda |\lambda\rangle_s e^{i\phi'_d \tilde{Z}} (Z_a \lambda + X_a \sqrt{1 - \lambda^2}) e^{i\phi'_{d-1} \tilde{Z}} \end{aligned}$$

$$e^{i\phi'_d \tilde{Z}} U(H) e^{i\phi'_{d-1} \tilde{Z}} U(H) e^{i\phi'_{d-2} \tilde{Z}} |\lambda\rangle_s = e^{i\phi'_d \tilde{Z}} U(H) |\lambda\rangle_s e^{i\phi'_{d-1} \tilde{Z}} (Z_a \lambda + X_a \sqrt{1 - \lambda^2}) e^{i\phi'_{d-2} \tilde{Z}}$$

$$\begin{aligned}
 &= e^{i\phi_0 \frac{z}{\lambda}} (z_0 \lambda + \lambda_0 \sqrt{1-\lambda^2}) e^{i\phi_1 \frac{z}{\lambda}} (z_0 \lambda + \lambda_0 \sqrt{1-\lambda^2}) \\
 &= \lambda_0^2 e^{i\phi_0 \frac{z}{\lambda}} (z_0 \lambda + \lambda_0 \sqrt{1-\lambda^2}) e^{i\phi_1 \frac{z}{\lambda}} (z_0 \lambda + \lambda_0 \sqrt{1-\lambda^2}) e^{i\phi_2 \frac{z}{\lambda}} \dots
 \end{aligned}$$

$$\sum_{\lambda} \alpha_{\lambda} |\lambda\rangle_s \rightarrow \sum_{\lambda} \alpha_{\lambda} |\lambda\rangle_s e^{i\phi_0 \frac{z}{\lambda}} \underbrace{R(\lambda)}_{\text{circled}} e^{i\phi_1 \frac{z}{\lambda}} \dots R(\lambda) e^{i\phi_d \frac{z}{\lambda}}$$

$$\underbrace{R(\lambda)} = z_0 \lambda + \lambda_0 \sqrt{1-\lambda^2}$$

# Qubitization: Relating to QSP

Qubitization:  $e^{i\phi_0 Z} R(\lambda) e^{i\phi_1 Z} \dots R(\lambda) e^{i\phi_d Z}$

Quantum Signal Processing:  $\underbrace{e^{i\phi_0 Z}} \underbrace{e^{i\theta X}} \underbrace{e^{i\phi_1 Z}} \dots \underbrace{e^{i\theta X}} \underbrace{e^{i\phi_d Z}}$

$$R(\lambda) = \lambda Z + \sqrt{1 - \lambda^2} X.$$

$$e^{i\theta X} = \underbrace{I \cos(\theta) + i \sin(\theta) X}$$

How to relate the two?

$$X = \begin{pmatrix} 0 & 1 \\ 1 & 0 \end{pmatrix} \quad Y = \begin{pmatrix} 0 & -i \\ i & 0 \end{pmatrix} \quad Z = \begin{pmatrix} 1 & 0 \\ 0 & -1 \end{pmatrix}$$

Clifford:  $S = \begin{pmatrix} 1 & 0 \\ 0 & i \end{pmatrix}$   $H = \frac{1}{\sqrt{2}} \begin{pmatrix} 1 & 1 \\ 1 & -1 \end{pmatrix}$

↑  $\uparrow$

Phase gate  $\uparrow$  Hadamard gate

$$S^2 = \begin{pmatrix} 1 & 0 \\ 0 & -1 \end{pmatrix} = Z \quad S^4 = I$$

Clifford:  $U$  s.t.  $U P U^\dagger =$  (possibly another) Pauli  $(\text{cup} \rightarrow \text{a phase})$

↑

Pauli



$$SXS^\dagger = \begin{pmatrix} 1 & 0 \\ 0 & i \end{pmatrix} \begin{pmatrix} 0 & 1 \\ i & 0 \end{pmatrix} \begin{pmatrix} 0 & 1 \\ 0 & -i \end{pmatrix} = \begin{pmatrix} 0 & 1 \\ i & 0 \end{pmatrix} \begin{pmatrix} 1 & 0 \\ 0 & -i \end{pmatrix} = \begin{pmatrix} 0 & -i \\ i & 0 \end{pmatrix} = Y$$

$$SY^\dagger S = \begin{pmatrix} 1 & 0 \\ 0 & i \end{pmatrix} \begin{pmatrix} 0 & -i \\ i & 0 \end{pmatrix} \begin{pmatrix} 1 & 0 \\ 0 & -i \end{pmatrix} = -X$$

$$SZS^\dagger = Z$$

$$\begin{aligned} S R(\lambda) S &= S(\lambda Z + \sqrt{1-\lambda^2} X) S \\ &= \lambda(SZS) + \sqrt{1-\lambda^2} SXS \\ &= \lambda I + \sqrt{1-\lambda^2} \underbrace{SXS^\dagger S}_I \\ &= \lambda I + \sqrt{1-\lambda^2} Y S^2 = \lambda I + \sqrt{1-\lambda^2} Y Z \\ &= \lambda I + i\sqrt{1-\lambda^2} X \end{aligned}$$

$$\underbrace{S} R(\lambda) \underbrace{S} = \lambda I + i\sqrt{1-\lambda^2} X = e^{\frac{\lambda}{2} i Z} R(\lambda) e^{\frac{\lambda}{2} i Z}$$

$$e^{i\theta X} = I \cos \theta + i \sin \theta X \quad \cos \theta = \lambda$$

$$S = e^{\frac{\lambda}{2} i Z} \quad (\text{up to a global phase})$$

$$R(\lambda) = e^{-\frac{\lambda}{2} i Z} e^{i X \cos^{-1} \lambda} e^{-\frac{\lambda}{2} i Z}$$

# Absorbing the phases

Qubitization:  $e^{i\phi_0 Z} R(\lambda) e^{i\phi_1 Z} \dots R(\lambda) e^{i\phi_d Z}$

Quantum Signal Processing:  $e^{i\phi_0 Z} e^{i\theta X} e^{i\phi_1 Z} \dots e^{i\theta X} e^{i\phi_d Z}$

$$R(\lambda) = \lambda Z + \sqrt{1 - \lambda^2} X.$$

$$e^{i\theta X} = I \cos(\theta) + i \sin(\theta) X$$

How to relate the two?

$$R(\lambda) = e^{-\frac{\lambda}{\sqrt{1-\lambda^2}} i Z} e^{i \chi \cos^{-1} \lambda} e^{-\frac{\lambda}{\sqrt{1-\lambda^2}} i Z}$$

$$e^{i(\phi_0 - \frac{\lambda}{\sqrt{1-\lambda^2}}) Z} e^{i \chi \cos^{-1} \lambda} e^{i(\phi_1 - \frac{\lambda}{\sqrt{1-\lambda^2}}) Z} \dots e^{i \chi \cos^{-1} \lambda} e^{i(\phi_d - \frac{\lambda}{\sqrt{1-\lambda^2}}) Z}$$

# Qubitization vs. Quantum Signal Processing

$$\underbrace{e^{i\phi_0 Z} e^{i\theta X} e^{i\phi_1 Z} \dots e^{i\theta X} e^{i\phi_d Z}} = \begin{bmatrix} \underbrace{P(a)} & iQ(a)\sqrt{1-a^2} \\ iQ^*(a)\sqrt{1-a^2} & P^*(a) \end{bmatrix},$$

where  $\theta = \cos^{-1}(a)$ . Thus, using qubitization, we can implement (upon measuring  $|0\rangle\rangle$ )

$$\underbrace{|\psi\rangle_s} \rightarrow P(H) |\psi\rangle,$$

(for a polynomial that satisfies the conditions in QSP.)

$$e^{i\phi_0 \tilde{Z}} U(H) e^{i\phi_1 \tilde{Z}} \dots U(H) e^{i\phi_d \tilde{Z}} |\lambda\rangle_s = \underbrace{e^{i\tilde{\phi}_0 \tilde{Z}} e^{i\theta \tilde{X}} e^{i\tilde{\phi}_1 \tilde{Z}} \dots e^{i\theta \tilde{X}} e^{i\tilde{\phi}_d \tilde{Z}}}_{(\cos\theta = \lambda)} |\lambda\rangle_s$$

$$\underbrace{e^{i\phi_0 \tilde{Z}} U(H) e^{i\phi_1 \tilde{Z}} \dots U(H) e^{i\phi_d \tilde{Z}} |\lambda\rangle_s |0\rangle_n} = \underbrace{P(\lambda) |\lambda\rangle_s |0\rangle_n + \dots + 14\rangle_s |1\rangle_n}$$

↓ measure  $\alpha$

If we measure 0  $\rightarrow P(\lambda) |\lambda\rangle_s$

$$e^{i\phi_0 \hat{Z}} U(H) e^{i\phi_1 \hat{Z}} \dots U(H) e^{i\phi_n \hat{Z}} \sum_{\lambda} \alpha_{\lambda} |\lambda\rangle_s |\alpha\rangle_n$$

↓ measure  $\alpha$

$$0 \rightarrow \sum_{\lambda} P(\lambda) \alpha_{\lambda} |\lambda\rangle_s = \underbrace{P(H) |\psi\rangle}_{(|\psi\rangle = \sum_{\lambda} \alpha_{\lambda} |\lambda\rangle)}$$

$$P(H) = \sum_{\lambda} P(\lambda) |\lambda\rangle \langle \lambda|$$

$$U(H) \rightarrow \textcircled{P(H)} \quad (\text{probabilistic})$$

# Qubitization vs. Quantum Signal Processing

Key point: Given any polynomial that satisfies the conditions in QSP, we can implement

$$|\psi\rangle_s \rightarrow \underbrace{P(H)|\psi\rangle_s}$$

Before the measurement:  $\underbrace{P(H)|\psi\rangle_s |0\rangle_a} + |\dots\rangle$

$$P_t[\text{Success}] = \langle 0 |_a \underbrace{P(H)^\dagger P(H)} |\psi\rangle_s$$

# Qubitization & Quantum Signal Processing

$$e^{i\phi_0 Z} e^{i\theta X} e^{i\phi_1 Z} \dots e^{i\theta X} e^{i\phi_d Z} = \begin{bmatrix} P(a) & iQ(a)\sqrt{1-a^2} \\ iQ^*(a)\sqrt{1-a^2} & P^*(a) \end{bmatrix},$$

where  $\theta = \cos^{-1}(a)$ .

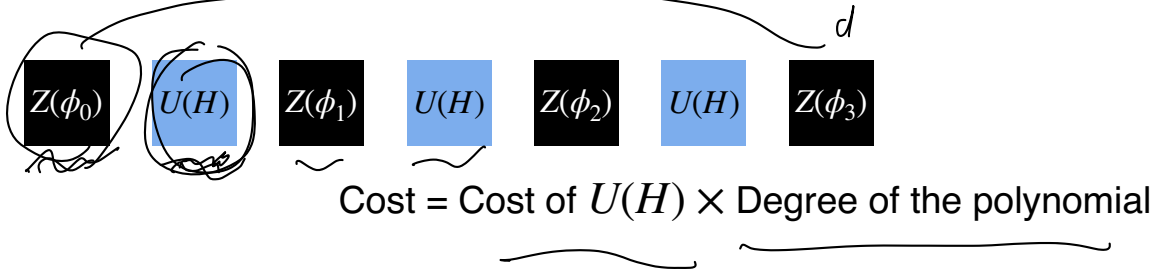
1. Degrees of P and Q are at most d and d-1, respectively.
2. P, Q has parity d and (d-1) mod 2.
3.  $|P|^2 + (1-a^2)|Q|^2 = 1$ .

$$\rho(\chi) \approx \underbrace{e^{-i\chi T}}$$

# A more global picture

$$e^{i\phi'_0\tilde{Z}}U(H)e^{i\phi'_1\tilde{Z}}\dots U(H)e^{i\phi'_d\tilde{Z}},$$

where  $\tilde{Z} = Z_a \otimes I_s$  and  $U(H) = Z_a \otimes H + X_a \otimes \sqrt{1 - H^2}$ .



# Hamiltonian Simulation

Key question: How to find a polynomial approximation of  
 $e^{-iHt} = \cos(Ht) - i \sin(Ht)$ ?

Fortunately, there is already a wealth of literature on this matter.



# Chebyshev Polynomial (first kind)

Definition:  $T_n(x)$  such that  $T_n(\cos \theta) = \cos(n\theta)$

This is frequently used in approximating arbitrary functions.

$$\cos(2\theta) = 2\cos^2\theta - 1$$

$$\cos(\alpha + \beta) = \cos(\alpha)\cos(\beta) - \sin(\alpha)\sin(\beta)$$

# Jacobi-Anger expansion

$$\underbrace{\cos(xt)} = \underbrace{J_0(t)} + 2 \sum_{k=1}^{\infty} (-1)^k \underbrace{J_{2k}(t) T_{2k}(x)}$$

$$\underbrace{\sin(xt)} = 2 \sum_{k=0}^{\infty} (-1)^k J_{2k+1}(t) T_{2k+1}(x)$$

Taylor expansion  
 $O(|t| \times \text{poly}(\log \frac{1}{\epsilon}))$

To achieve  $\epsilon$  error, it suffices to choose to truncate the polynomial at

$$O \left( \underbrace{|t|} + \frac{\log(1/\epsilon)}{\log \left( e + \frac{\log(1/\epsilon)}{|t|} \right)} \right) \text{th order. } \underline{\text{[Low and Chuang (2016), Gilyen, Su, Low, and Wiebe (2019)]}}$$

# Success Probability

Success probability = norm of  $P(H) |\psi\rangle$ . Thus, if  $P(H)$  is a unitary, the success probability is 1.

Even for Hamiltonian simulation, because we truncate the polynomial to some finite degree,  $P(H)$  will not be exactly unitary.

But that's okay, because (i) we can make  $P(H)$  "as unitary as we want" by making the degree larger.

$$p, q$$
$$\underline{|p|^2 + (1-n^e) |q|^2 = |$$

# Remaining questions

→ Unitary encoding of  $H$

1. How do we implement the unitary oracle?
2. Examples?
3. Next time!

$$\underline{H = a^\dagger a} \quad \text{Spec}(H) = \{0, 1, 2, \dots, \infty\}$$